

10/576921
APR 24 2006

Patent
Attorney Docket No. BASF.10143WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sebastian KOLTZENBURG et al.

International Application No.: PCT/EP2004/011797

Group Art Unit: Unassigned

International Filing Date: October 19, 2004

Examiner: Unassigned

Title: Nanoparticulate Active Substance Formulations

Confirmation No.: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited and required by 37 C.F.R. § 1.98 is enclosed.

Some of the listed documents were cited in the International Search Report in a corresponding International application, a copy of which is enclosed.

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with MPEP § 609 IIIA(3), a version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith. English language abstracts or claims, or English language equivalent applications also have been provided according to MPEP § 609 IIIA(3), where applicable.

To assist the Examiner, the documents are listed on the attached form PTO/SB/08. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The cited documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

The Director is hereby authorized to charge any appropriate fees that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-3218.

Respectfully submitted,

HUTCHISON LAW GROUP PLLC

Date: 4/24/06

By:



Mary B. Grant

Registration No. 32,176

P.O. Box 31686
Raleigh, NC 27612
+1.919.829.9600

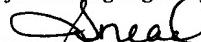
I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Label No. EV 835 058 325 US

Date of Deposit: 4/24/2006

Jennie Snead

(Typed Name of Person Signing Certificate)



(Signature of Person Signing Certificate)

Date of Signing: 04/24/2006

BASF Reg. Unit TO 24 APR 2006

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

Int'l Appl. Number	PCT/EP2004/011797
Int'l Filing date	October 19, 2004
First Named Inventor	KOLTZENBURG, Sebastian
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: BASF.10143WOUS

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
	4 409 358	10/11/1983	Kraft et al.
	4 528 185	07/09/1985	Kraft et al.
	5 133 908	07/28/1992	Stainmesse et al.
	5 118 528	06/02/1992	Fessi et al.
	4 512 969	04/23/1985	Chen
	6 458 745	10/01/2002	Runge et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Abstract, Translation, English Language Equivalent or Search Report
	DE 101 51 392	05/08/2003	p. 3, l. 29	Abstract
	EP 0 039 788	11/18/1981		Search Report English Language 4,409,358 and 4,528,185 provided
	EP 0 275 796	07/27/1988	p. 2, l. 16	English Language 5,133,908 and 5,118,528 provided
	EP 0 446 636	09/18/1991		Search Report
	EP 0 750 899	01/02/1997		Search Report
	EP 0 875 142	11/04/1998	p. 3, l. 38	
	EP 0 875 143	11/04/1998	p. 3, l. 9	
	WO 02/082900	10/24/2002	p. 3, l. 23	
	WO 97/13503	04/17/1997		
	WO 98/16105	04/23/1998	p. 2, l. 29	English Language 6,458,745 provided

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

AP20 Recd PTO 24 APR 2006

Approved for use through 07/31/2006. OMB 0651-0031
US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Complete if Known	
Int'l Appl. Number	PCT/EP2004/011797
Int'l Filing date	October 19, 2004
First Named Inventor	KOLTZENBURG, Sebastian
Group Art Unit	Unknown
Examiner Name	Unknown

Attorney Docket No: BASF.10143WOUS

Sheet 2 of 2

	WO 01/18064	03/15/2001		Search Report
	WO 04/000916	12/31/2003		Search Report
	WO 03/039249	05/15/2003		

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Abstract, Translation, English Language Equivalent or Search Report
	AUWETER, H., et al., "Fiber-Optical Quasi-elastic Light Scattering of Concentrated Dispersions", Journal of Colloid and Interface Science, June 1985, pp. 399-409, vol. 105, no. 2	
	LILGE, D., et al., "Diffusion in concentrated dispersions: A study with fiber-optic quasi-elastic light scattering (FOQELS)", Colloid & Polymer Science, 1991, pp. 704-712, vol. 269	
	WEISE, H., et al., "Single-mode fibers in fiber-optic quasielastic light scattering: A study of the dynamics of concentrated latex dispersions", J. Chem. Phys., 15 May 1991, p. 6429-6443, vol. 94, no. 10	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached